

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/940,265 | 08/27/2001 | Paul A. Smethers | 3399P061 |

CONFIRMATION NO. 4538

26529
BLAKELY SOKOLOFF TAYLOR & ZAFMAN/PDC
12400 WILSHIRE BOULEVARD
SEVENTH FLOOR
LOS ANGELES, CA 90025

FORMALITIES LETTER



OC000000006814928

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

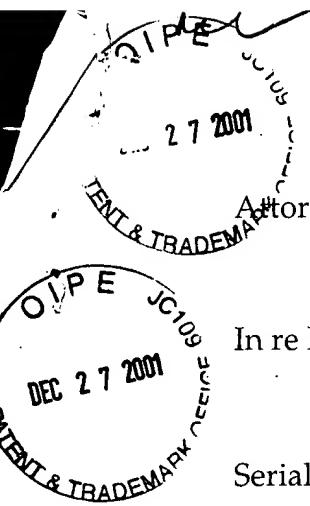
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/28/2001 MM0HMM1 00000079 09940265

01 FC:105

130.00 0P



SECTOR /
\$

Attorney Docket No.: 3399P061

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Paul A. Smethers

Serial No.: 09/940,265

Filing Date: August 27, 2001

For: GRAPHICAL USER INTERFACE
FEATURES OF A BROWSER IN A
HAND-HELD WIRELESS
COMMUNICATION DEVICE

Examiner: Not yet assigned

Group Art Unit: 2681

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed September 28, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e); and
- (3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 11/28/01


Jordan M. Becker
Reg. No. 39,602

Customer No. 26529
12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on November 28, 2001
Date of Deposit

Julie Arango Name of Person Mailing Correspondence

Julie Arango 11/28/01
Signature Date



Attorney Docket No.: 3399P061

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Paul A. Smethers

Serial No.: 09/940,265

Filing Date: August 27, 2001

For: GRAPHICAL USER INTERFACE
FEATURES OF A BROWSER IN A
HAND-HELD WIRELESS
COMMUNICATION DEVICE

Examiner: Not yet assigned

Group Art Unit: 2681

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

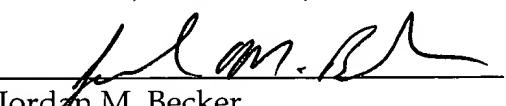
SUBMISSION OF FORMAL DRAWINGS

Sir:

Please find attached five (5) sheets of formal drawings for Figures 1 - 4F submitted for the above-application. Applicant respectfully requests that these formal drawings become part of the record.

Respectfully submitted,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 11/28, 2001


Jordan M. Becker
Registration No. 39,602

Customer No. 26529
12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on November 28, 2001
Date of Deposit
Julie Arango
Name of Person Mailing Correspondence
Julie Arango 11/28/01
Signature Date